

# SLIB CLIENT REPORTING

## GENERAL OVERVIEW

In a constantly changing legal context in Europe, financial players in all sectors must provide a full, personalised response in terms of reporting to satisfy the needs of their clients. SLIB Client Reporting takes care of all your management and tax reporting requirements. SLIB Client Reporting can also, under certain conditions, integrate formatting of reports produced by other SLIB applications.

## FUNCTIONS

SLIB Client Reporting can generate two categories of statements.

### Management statements

#### Transactions statement

Daily statement listing all transactions that occurred during the last business date

#### Liquidation statements

Monthly statement listing monthly settlement transactions of the period

#### Securities account statement (portfolio statement)

Monthly statement listing all securities held in portfolio at the end of the period, valued at the last market price of the period

#### Cash positions (account statement)

Monthly statutory statement listing all accounting movements of the period and responding to the following rule:

$$\begin{array}{r} \text{Balance of the previous period} + \text{Movements} \\ \text{of the current period} \\ = \\ \text{Balance of the end of the period} \end{array}$$

#### Personalized statements

Statement listing different management indicators (performance, transfers, fees, etc...)

### Regulatory statements

#### Unique Fiscal Slip (UFS) – French IFU

Annual regulatory report delivered for all the taxed accounts. It is made of 2 parts : the 1st one is dedicated to the General Tax Office, and the 2<sup>nd</sup> more informative, is for the final client

#### Wealth Tax (French ISF)

Annual regulatory report delivered for all the taxable accounts, based on portfolio position on the 31<sup>st</sup> of December of the previous year

#### Corporate Actions correspondence

Notice to the final client announcing that a value held in portfolio is soon going to be the subject of a corporate action: the client has to provide or not an answer according to the nature of the corporate action

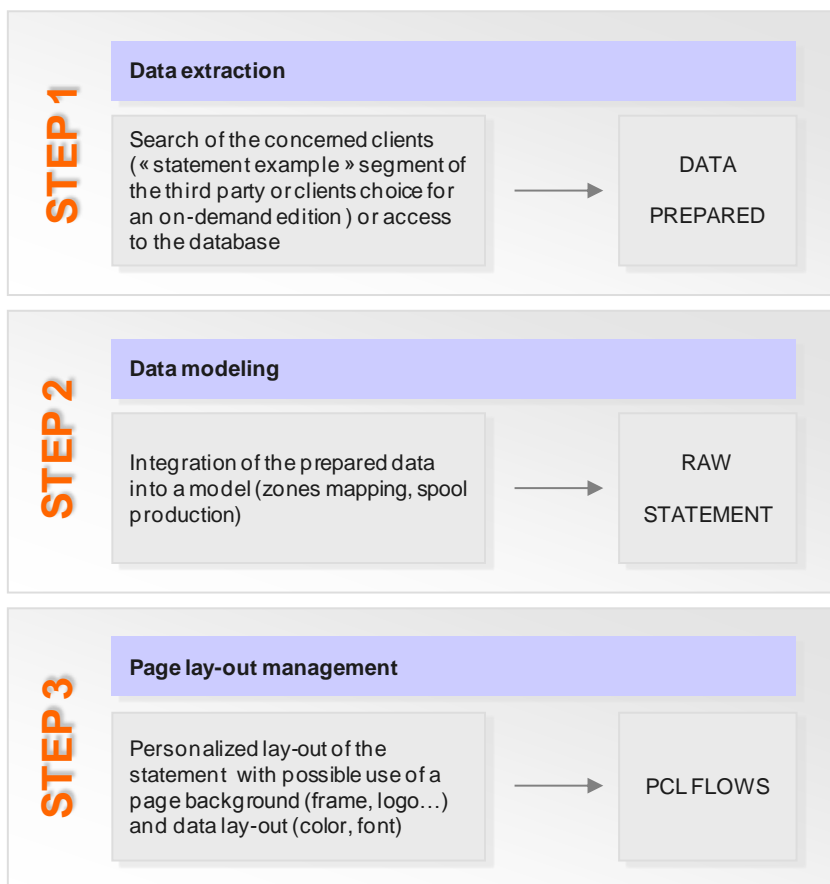
#### PEA transfer slip (French PEA)

Mandatory statement delivered whenever a PEA account is being transferred from an establishment to another.

These statements can be generated in batch mode and/or interactive mode. Batch mode production is based on the configuration saved in the "report example" segment of the SLIB Third Party reference system. This configuration specifies the type of statement to be produced for each account and the publication frequency:

daily, monthly, quarterly, half-yearly, annually. Statements can also be produced on request (except for operations and cash statements) for a single client or group of clients.

SLIB Client Reporting incorporates the management of fold/insert codes enabling automatic fold/insert. Different product statements with the same due date can also be grouped by client (multiple fold/insert). The management of counters by client / statement / type of printout enables monitoring of statement production. If an external printing service is used, management of a sequencing message between the service provider and SLIB enables monitoring of publication flows.



You can choose your reporting policy on the basis of compiled prepared data

The draft report produced by step 2 can be used as a basis for producing publication flows and files in PDF or XML format.

Step 3 is used to produce PCL flows including the page background. These can therefore be printed directly locally or by an external service provider. Step 3 can be carried out with spools from outside SLIB as input.

### Periodicity for operation statements

Operation statements are produced daily or monthly. You can choose the types of operation to be produced daily on the operation statement via a configurable code:

- Do not print: no printout generated for this type of operation
- To be printed: daily printing, regardless of the periodicity assigned to the account
- Variable: daily printing if the account is set for daily printing, or printing with the next “to be printed” operation or at the end of the month if the account is set for monthly printing

### The various report forms

- Print-out of statements on local printers
- Production of PCL flows or publication flows for external service providers
- Production of PDF files or publication flows for storage / micro-film
- Production of XML files for the information centre
- Link with a EDM tool (electronic document management)