

SLIB THIRD PARTY

GENERAL OVERVIEW

SLIB Third Party is a dynamic tool that fulfils an establishment's needs for the management of its client, account and counterparty reference systems.

Regardless of their activities, all financial establishments have to organise their client data and structure the data inherent to the various third parties and counterparties involved in the client processes.

The database federating this information must be as dynamic as possible and reflect all the information specific to each client. This information is used transversally by a set of applications.

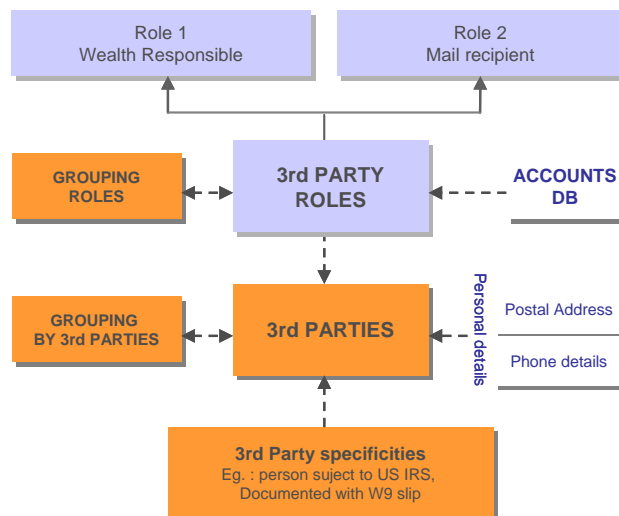
The reference system contains all the data used to coordinate SLIB modules processing or stored for purely informational needs in report production.

KEY BENEFITS

- Dynamic database reflecting all data
- Identification of the roles carried out by each third party
- User-friendly, operating in slave or master mode with a choice of real time or batch dialogue with the external tool
- Audit tracks keep records of all the modifications made to the database
- Coverage of all activities and all clients (retail and institutions)

FUNCTIONS

ILLUSTRATION OF THE DATABASE FOR A PRIVATE INDIVIDUAL



Third party

The notion of Third Party enhances the Client notion with more upgradable data:

- Multiple address management
- “Role” management with the possibility of defining several roles: a “legal entity” third party can be both client and the counterparty of another client, for example in a Settlement operation

For each role, the additional information (for example, a password for a “Web Profile” role) is entered on the same page as role assignment.

Group management

All existing groups are referenced:

- **Third Party groups:**
Define relationships between Third Parties, whatever their roles. Group types can be configured.
e.g.: groups of individual persons can define the members of a family, groups of legal entities describe economic groups (a parent company and its subsidiaries).
- **Role grouping:**
Define relationships between Third Parties and their activities. Group types can be configured.
e.g.: Manager / Bearer / Trader / Clearer etc. relationships
- **Client/account grouping:**
Define a client and all his accounts.

Security and cash accounts, multiple currency

Data are grouped by family:

- **General characteristics specific to a set of accounts regardless of their typology:** groups of several security accounts can be formed for reporting purposes.
The link to an auxiliary accounting account is specified.
- **Characteristics specific to account typology:** Client, House, Trade, Payment accounts, etc.
- **Addresses used for reporting:** temporary addresses can also be managed.
- **Reporting:** a set of client reports is associated with each account.
- **Transmission periodicity, addressee (client, manager, other third party), media (paper, e-mail, etc.) and number of copies can be defined for each available report.**
- **Operation invoicing method**
Calculated data are available: number of operations since the beginning of the month, brokerage since the beginning of the year, etc.
- **Settlement:** Settlement details are defined by market, type of asset (share, bond, etc.) or even by security. These characteristics, associated with SLIB Settlement and its Settlement Instruction Book, enable management of Settlement Instructions.